# This Page Is Inserted by IFW Operations and is not a part of the Official Record

## BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

#### PCT

WORLD INTELLECTUAL Internat

#### INTERNATIONAL APPLICATION PUBLISHED U

(51) International Patent Classification 6: A61F 2/02, A61M 5/00

A1

WO 9603092A1

(43) International Publication Date:

8 February 1996 (08.02.96)

(21) International Application Number:

PCT/US95/08975

(22) International Filing Date:

26 July 1995 (26.07.95)

(30) Priority Data:

08/282,181 08/457,354 28 July 1994 (28.07.94) 31 May 1995 (31.05.95)

US

(71) Applicants: BRUN, Heidi, M. [US/IL]; 7 Halris Street, 99512

Bet Shemesh (IL). MEDINOL LTD. [IL/IL]; Kiryat Atidim,
P.O. Box 58165, 61581 Tel Aviv (IL).

(72) Inventors: ISRAEL, Henry, M.; 39 Ben Zakai Street, Bnei Brak (IL). PINCHASIK, Gregory; 23 Golomb Street, Ramat Hasharon (IL).

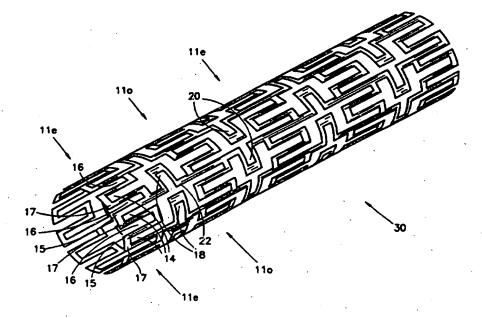
(74) Agents: GUNNISION, Forrest, E. et al.; Skjerven, Morrill, MacPherson, Franklin & Friel, Suite 700, 25 Metro Drive, San Jose, CA 95110 (US).

(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TT, UA, UG, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ, UG).

#### **Published**

With international search report.

(54) Title: A FLEXIBLE EXPANDABLE STENT



#### (57) Abstract

There is disclosed a stent (30) for implanting in the body. The stent (30) is formed of a tube having a patterned shape which has first and second meander patterns (11, 12) having axes extending in first and second directions. The first meander patterns can be formed into even and odd first meander patterns. The even and odd first meander patterns are 180 degrees out of phase with each other, and the odd patterns occur between every two even patterns. The second meander patterns are intertwined with the first meander patterns. The first and second directions can be orthogonal to each other. The second meander patterns can also be formed of even and odd patterns.

#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea		
BE	Belgium	GR		NE	Niger
BF	Burkina Faso		Greece	NL	Netherlands
		HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ ·	Benin	IT	Italy	PL	Poland
BR	Brazil .	JP	Japan	PT	Portugal
BY	Belarus	. KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgystan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic	SD	Sudan
CG	Congo		of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SI	Slovenia
<b>a</b> .	Côte d'Ivoire	KZ	Kazakhstan	SK	Slovakia
CM	Cameroon	u	Liechtenstein	SN	Senegal
CN	China	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
CZ	Czech Republic	LV	Latvia	TJ	Tajikistan
DE	Germany .	MC	Monaco	TT	Trinidad and Tobago
DK	Denmark	MD	Republic of Moldova	UA	Ukraine
ES	Spain .	MG	Madagascar	US	United States of America
FT	Finland	ML	Mali	UZ	Uzbekistan
FR	France	MN	Mongolia	VN	Viet Nam
GA	Gabon		<u>-</u>		

PCT/US95/08975

#### WO 96/03092

#### A FLEXIBLE EXPANDABLE STENT

5

15

20

25

30

35

#### FIELD OF THE INVENTION

The present invention relates generally to stents for implanting into a living body.

#### 10 BACKGROUND OF THE INVENTION

Various stents are known in the art wherein, for the present application, the term "stent" indicates a device, made of body-compatible material, which is utilized to widen a blood vessel, or other orifice in the body, and to maintain the resultant size of the lumen. Typically, the stent is delivered to the desired location in the body with an inflatable balloon and, when the balloon is inflated, the stent expands, thereby widening the orifice. Other mechanical devices which cause expansion of the stent are also utilized.

Exemplary patents in the field of stents formed of wire are: U.S. 5,019,090 to Pinchuk, U.S. 5,161,547 to Tower, U.S. 4,950,227 to Savin, et al., U.S. 5,314,472 to Fontaine, U.S. 4,886,062 and U.S. 4,969,458 to Wiktor and U.S. 4,856,516 to Hillstead. Stents formed of cut stock metal are described in: U.S. 4,733,665 to Palmaz, U.S. 4,762,128 to Rosenbluth, U.S. 5,102,417 to Palmaz and Schatz, U.S. 5,195,984 to Schatz and WO 91 FR013820 to Meadox.

The stents described in U.S. 5,102,417 to Palmaz and Schatz have expandable tubular grafts connected together with a flexible connector. The grafts are formed of a plurality of slots disposed parallel to the longitudinal axis of the tube. The flexible connectors are helical connectors. Since the tubular grafts are relatively rigid, the flexible connectors are needed so that the stents can bend when being fed through a curved blood vessel. When the stents of U.S. 5,102,417

expand, the grafts expand radially and, consequently, shrink longitudinally. However, at the same time, the helical connectors twist. The twisting motion is most probably harmful to the blood vessel.

U.S. 5,195,984 to Schatz describes a similar stent but with one straight connector, parallel to the longitudinal axis of the tubular grafts, between tubular grafts. The straight member removes the twisting motion; however, it is not a very strong connector.

5

10

15

. 20

25

30

35

#### SUMMARY OF THE PRESENT INVENTION

It is therefore an object of the present invention to provide a flexible stent which minimally shrinks, in the longitudinal direction, during expansion.

The stent of the present invention is formed of a tube having a patterned shape which has first and second meander patterns having axes extending in first and second directions wherein the second meander patterns are intertwined with the first meander patterns. The first and second directions can be orthogonal to each other.

In accordance with one embodiment of the present invention, the first meander patterns are formed into even and odd first meander patterns. The even and odd first meander patterns are 180° out of phase with each other and the odd patterns occur between every two even patterns. The second meander patterns can also be formed of even and odd patterns.

Additionally, in accordance with a preferred embodiment of the present invention, the second meander patterns have two loops per period and the even and odd first meander patterns are connected on first and second sides, respectively, of each loop of the second meander patterns.

Alternatively or in addition, the second meander

patterns are formed of even and odd second meander patterns. In this embodiment, the even and odd first meander patterns have loops and the even and odd second meander patterns are connected to the even and odd first meander patterns so as to leave one full loop between each pair of even and odd second meander patterns.

Moreover, in accordance with a preferred embodiment of the present invention, the first and second meander patterns are formed from flat metal. Alternatively, they can be cut from wire. Further, they can be imbedded or covered with any body-compatible material.

#### BRIEF DESCRIPTION OF THE DRAWINGS

10

15

The present invention will be understood and appreciated more fully from the following detailed description taken in conjunction with the drawings in which:

- 20 Fig. 1 is an illustration of a patterned stent, constructed and operative in accordance with a first preferred embodiment of the present invention;
  - Fig. 2 is an illustration of the pattern of the stent of Fig. 1;
- 25 Fig. 3 is an illustration of the stent of Fig. 1 in a bent position;
  - Fig. 4 is an illustration of the stent of Fig. 1 in an expanded format;
- Figs. 5A and 5B are illustrations of the changes in the patterns of the stent of Fig. 1 due to expansion;
  - Fig. 6 is a schematic illustration of a second embodiment of the pattern for a stent;
- Fig. 7 is an illustration of a third embodiment of the pattern for the stent; and

Fig. 8 is an illustration of the pattern of Fig. 7 in an expanded format.

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

5

10

15

30

35

Reference is now made to Figs. 1 - 4 which illustrate a first embodiment of a stent, constructed and operative in accordance with the principles of the present invention. Fig. 1 illustrates the stent in its non-expanded form, Fig. 2 illustrates the pattern of the stent, Fig. 3 illustrates it in a partially bent position and Fig. 4 illustrates it in an expanded form.

The stent of the present invention is a tube whose sides are formed into a plurality of each of two orthogonal meander patterns which patterns are intertwined with each other. The term "meander pattern" is taken herein to describe a periodic pattern about a center line and "orthogonal meander patterns" are patterns whose center lines are orthogonal to each other.

In the stent of Figs. 1 - 4, the two meander patterns are labeled 11 and 12 and they are most easily seen in Fig. 2. Meander pattern 11 is a vertical sinusoid having a vertical center line 9. Meander pattern 11 has two loops 14 and 16 per period wherein loops 14 open to the right while loops 16 open to the left. Loops 14 and 16 share common members 15 and 17, where member 15 connects from one loop 14 to its following loop 16 and member 15 connects from one loop 16 to its following loop 14.

Meander pattern 12 is an horizontal pattern having an horizontal center line 13. Meander pattern 12 also has loops, labeled 18 and 20, but between loops of a period is an extended straight section labeled 22. Loops 18 open downwards and loops 20 open upwards. Vertical meander pattern 11 is provided in odd and even (o and e) versions which are 180° out of phase with

each other. Thus, each left opening loop 16 of meander pattern 110 faces a right opening loop 14 of meander pattern 11e and a right opening loop 14 of meander pattern 110 faces a left opening loop 16 of meander pattern 11e.

5

10

15

20

25

30

35

Horizontal meander pattern 12 is also provided in odd and even forms. The straight sections 22 of horizontal meander pattern 12e intersect with every third common member 17 of vertical meander pattern 11e. The straight sections 22 of horizontal meander pattern 120 intersect with every third common member 15 of vertical meander pattern 11e. beginning with the common member 15 two after an intersected common member 17. The result is a full loop 14 between meander patterns 12e and 120 and a full loop 16 between meander patterns 120 and 12e.

Returning to Fig. 1, the pattern of Fig. 2 is formed into a tube 30 of an easily deformable material, such as a metal. Due to the two meander patterns, the stent of Fig. 1, when attached over a catheter balloon, is flexible and can therefore be easily dragged through curved blood vessels. An example of the way in which the stent of Fig. 1 bends is illustrated in Fig. 3.

In Fig. 3, the stent begins to bend at the point marked A in the direction marked by arrow 40. As the stent begins to curve, the section marked I becomes the inside of the curve while the section marked O becomes the outside of the curve. The inside of the curve I is shortened vis-a-vis the outside of the curve O.

During bending, the loops 14 - 20 to the right of the point A change shape in order to compensate for the differences in length between the inside and outside curves. For example, loops 18i and 20i near the inside of the curve are closer together than loops 180 and 200 on the outside of the curve, which expand. Loops 14i and 16i near the inside I are compressed while the

loops 140 and 160 closer to the outside 0 of the curve are expanded.

As can be seen, both meander patterns 11 and 12 are involved in the bending. Although not shown, it will be appreciated that the stent of Figs. 1 - 4 can bend in any direction and in more than one direction at any time.

Fig. 4 illustrates the stent of Fig. 1 in its expanded form. When the stent expands, both meander patterns 11 and 12 expand (i.e. all loops 14 - 20 open As can be seen, the expanded stent has two types of enclosed spaces, a large space 42 between meander patterns 120 and 12e and a small space 44 between meander patterns 12e and 12o. As can also be seen, each large space 42 has two loops 14 on its left side and two loops 16 on its right side. The large spaces between vertical meander patterns 11e and 11o, which are labeled 42a, have loops 18 at their tops and bottoms while the large spaces between vertical meander patterns 110 and 11e, which are labeled 42b, have loops 20 at their tops and bottoms. Similarly for small spaces 44a and 44b.

10

20

25

30

35

It is noted that, due to the orthogonal meander patterns 11 and 12, the stent of Fig. 1 does not significantly shrink during expansion. This is illustrated in detail in Figs. 5A and 5B to which reference is now made. Fig. 5A illustrates the movement, during expansion, of one vertical meander pattern 11 and Fig. 5B illustrates the movement, during expansion, of one horizontal meander pattern 12. The original patterns are shown with solid lines and the expanded patterns are shown with dashed lines.

The vertical meander pattern 11 of Fig. 5A expands by widening its loops 14 and 16. As a result, the vertical meander pattern 11 grows vertically by an amount 2\*h<sub>1</sub>, per loop. However, it also shrinks

horizontally, by an amount 2\*d<sub>1</sub>. Similarly, the horizontal meander pattern 12 of Fig. 5B expands by widening its loops 18 and 20. As a result, the horizontal meander pattern 12 grows horizontally by an amount 2\*d<sub>2</sub> per loop. However, it also shrinks vertically, by an amount h<sub>2</sub>. Thus, the vertical growth of the vertical meander pattern 11 compensates, at least partially, for the vertical shrinkage of the horizontal meander pattern 12, and vice versa. It is noted that the end portions of any stent are only partially compensated and therefore, may shrink somewhat.

10

15

20

25

30

35

It will be appreciated that the two orthogonal meander patterns 11 and 12 and the compensation they provide to each other provides flexibility to the unexpanded stent of Fig. 1. However, when the stent is expanded, the changes in each of loops 14 and 16 provide rigidity to the resultant stent and thus, enable the stent to maintain a blood vessel at a desired inner diameter.

The stent of the present invention can be manufactured from flat metal which is etched into the pattern of Fig. 2. The etched metal is then bent to form the tube 30. Alternatively, the pattern of Fig. 2 can be manufactured from welded or twisted wire.

It will be appreciated that the stent of the present invention can be made from metal and/or wire. Additionally, it can be plated with a protective material, embedded with a medicine, and/or covered with a material which can fill in the spaces 42 and 44.

It will be appreciated that the present invention encompasses all stents manufactured with a pattern formed of two meander patterns, orthogonal or otherwise. Another exemplary pattern, also with orthogonal meander patterns, is provided herein wherein Fig. 6 is a schematic version and Fig. 7 is a more

rounded version. Fig. 8 shows the pattern of Fig. 7 in an expanded format. The pattern of Figs. 6 and 7 is similar to that shown in Fig. 2 except that it has more horizontal meander patterns 12 and they are of one kind, rather than being even and odd as in Fig. 2.

5

10

15

20

As can be seen in both Figs. 6 and 7, there are two types of vertical meander patterns 11e and 11o which are 180° out of phase with each other. The horizontal meander patterns 12 connect with every line 15 of vertical meander pattern 11e.

Fig. 8 illustrates the pattern of Fig. 7 in an expanded format. Since there are no even and odd horizontal meander patterns, in the expanded format of Fig. 8, there are no large and small spaces. Instead, all spaces are of the same size.

It will be appreciated by persons skilled in the art that the present invention is not limited to what has been particularly shown and described hereinabove. Rather the scope of the present invention is defined by the claims which follow:

#### CLAIMS

- 1. A stent formed of a tube having a patterned shape, the patterned shape comprising:
  - even first meander patterns having axes
     extending in a first direction;
  - b. odd first meander patterns, also having axes extending in said first direction, wherein said odd first meander patterns are 180° out of phase with said even first meander patterns and occur between every two even first meander patterns;
  - c. second meander patterns having axes extending in a second direction different than said first direction, wherein said second meander patterns are intertwined with said even and odd first meander patterns to form a generally uniform distributed structure.
- 2. A stent according to claim 1 and wherein said 20 even first meander patterns and said odd first meander patterns are periodic about axes extending in said first direction and wherein said second meander patterns are periodic about axes extending in said second direction.

25

30

5

10

15

- 3. A stent according to either of claims 1 or 2 and wherein said second meander patterns have two loops per period and wherein said even and odd first meander patterns are connected on first and second sides, respectively, of each loop.
- 4. A stent according to any of the previous claims and wherein said second meander patterns are formed of even and odd second meander patterns.

35

5. A stent according to claim 4 and wherein said

even and odd first meander patterns have loops and wherein said even and odd second meander patterns are connected to said even and odd first meander patterns so as to leave one full loop between each pair of even and odd second meander patterns.

6. A stent formed of a tube having a patterned shape, the patterned shape comprising:

5

10

15

20

35

- a. first meander patterns having axes extending in a first direction;
- b. second meander patterns having axes extending in a second direction, different than said first direction, wherein said second meander patterns are intertwined with said even and odd first meander patterns to form a generally uniform distributed structure.
- 7. A stent according to claim 6 and wherein said first meander patterns are periodic about axes extending in said first direction and wherein said second meander patterns are periodic about axes extending in said second direction.
- A stent according to any of claims 1 7 and
   wherein said first and second directions are orthogonal.
- A stent according to any of claims 1 7 and wherein said first and second directions are not
   orthogonal.
  - 10. A stent according to any of claims 1 9 and wherein said first and second meander patterns are formed from wire.
    - 11. A stent according to any of claims 1 9 and

wherein said first and second meander patterns are cut from flat metal.

12. A stent according to any of the previous claims and wherein said stent is finished in one of the following ways: plating with a protective material, embedding with medicine, and covered with a material.

#### 13. A stent, comprising:

10

15

20

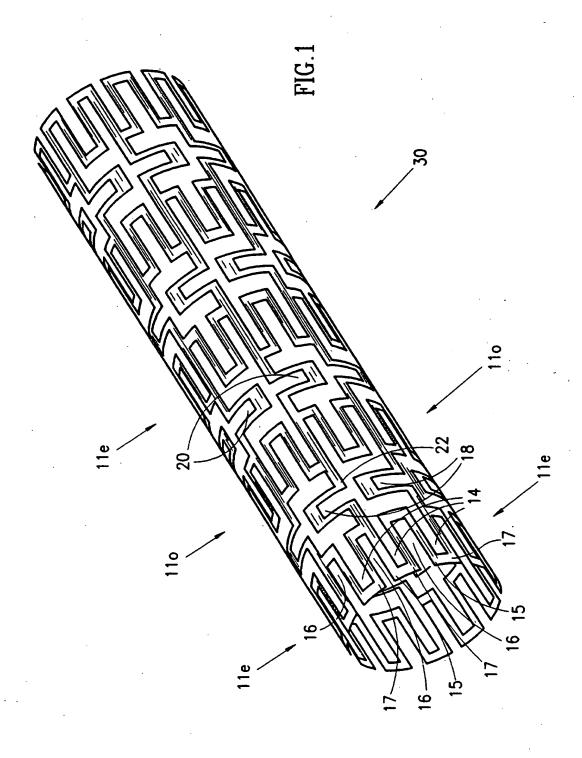
25

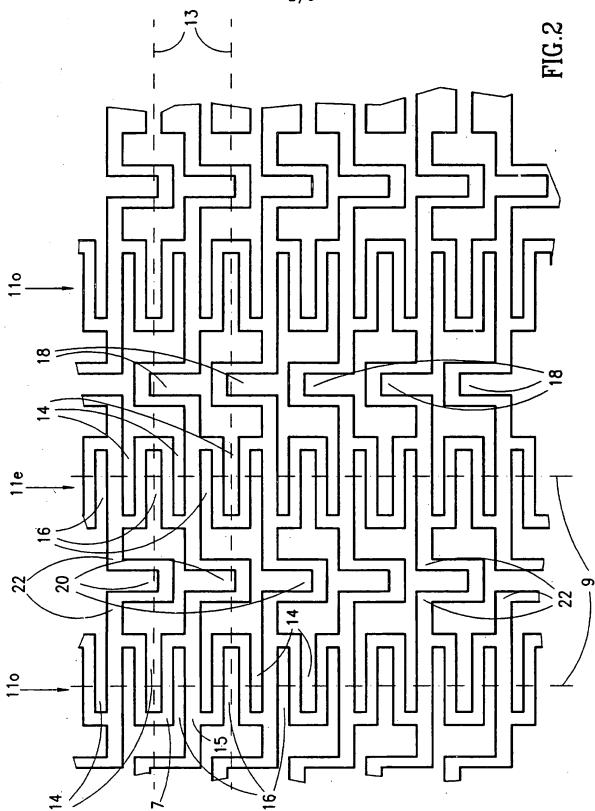
- a. at least odd and even alternating serpentine sections, each having first areas of inflection, wherein said odd serpentine section is out of phase from said even serpentine section such that first areas of inflection on said odd serpentine section are adjacent first areas of inflection on said even serpentine section; and
- b. at least one flexible connector, comprising a plurality of flexible links connecting adjacent first areas of inflection of adjacent even and odd serpentine sections, wherein each flexible link has at least two portions connected by at least one second area of inflection, and wherein said first and second areas of inflection define first and second angles whose bisecting lines are at angles one to another.
- 14. A stent comprising a mesh of adjacent, connected cells, each cell comprising:

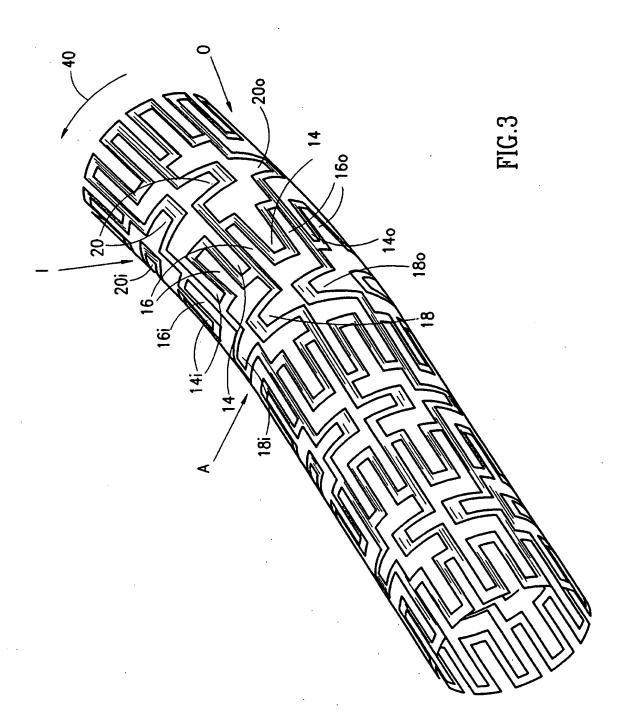
an even number of fixed length, alternating,

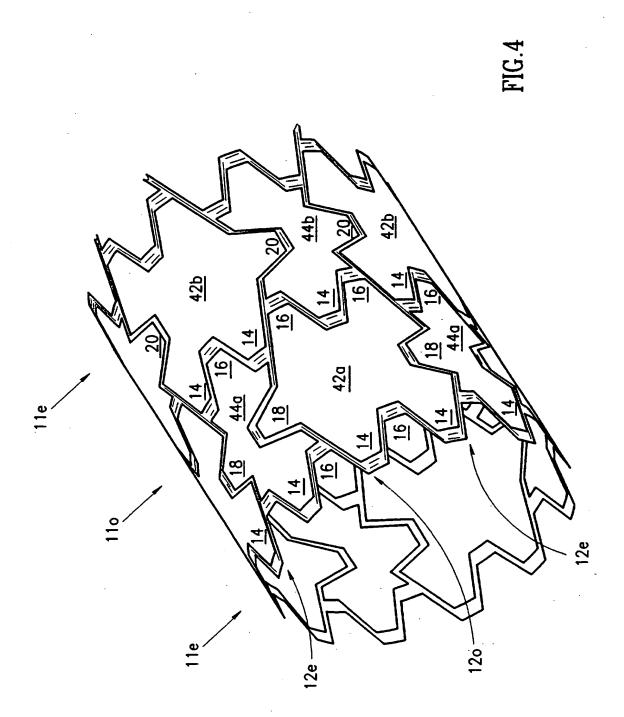
first and second loops, connected together in a

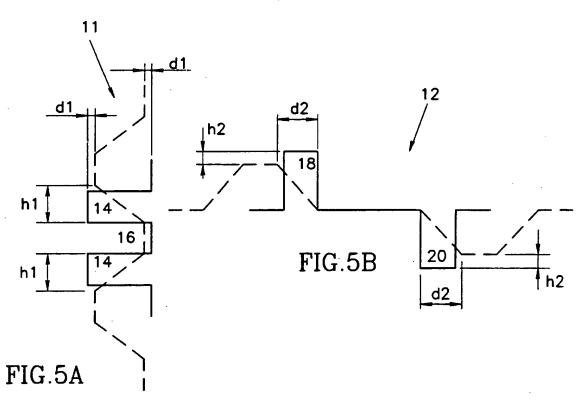
closed cell, each loop having at least two
portions with an area of inflection there between,
said first and second loops defining first and
second angles whose bisecting lines are at angles
one to another.











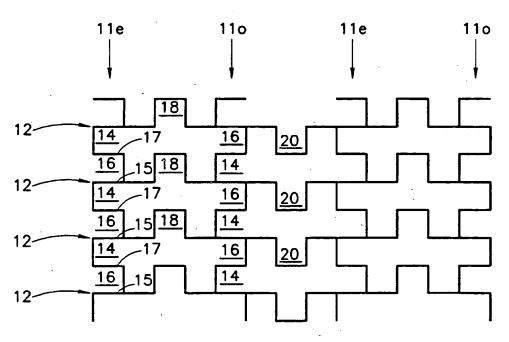
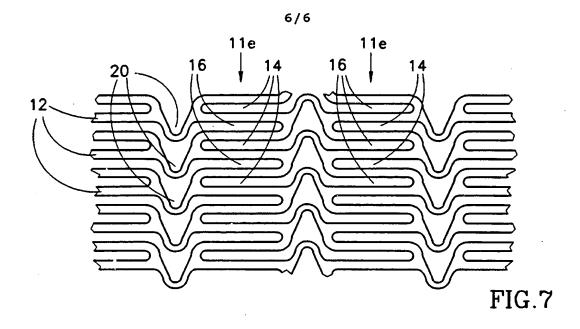
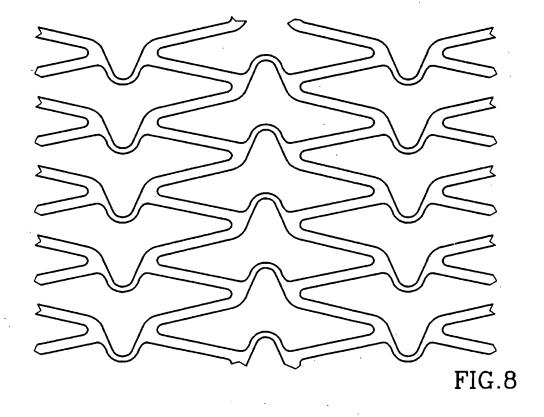


FIG.6





### INTERNATIONAL SEARCH REPORT

International application No. PCT/US95/08975

A. CLASSIFICATION OF SUBJECT MATTER							
IPC(6) :A61F 02/02; A61M 5/00							
US CL:606/198 According to International Patent Classification (IPC) or to both national classification and IPC							
B. FIELDS SEARCHED  Minimum documentation searched (classification system followed by classification symbols)							
l control de la control de							
U.S. : 606/108, 191, 194, 198, 200; 623/1, 11, 12							
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched NONE							
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)							
NONE							
C. DOCUMENTS CONSIDERED TO BE RELEVANT							
Category* Citation of document, with indication, where	appropriate, of the relevant passages Relevant to claim No.						
A, E US, A, 5,449,373 (PINCHAS) 1995, see entire document.	IK ET AL.) 12 September 1-14						
A EP, A, O 606 165 (MIKSZA) document.	13 July 1994, see entire 1-14						
· ·							
·							
Further documents are listed in the continuation of Box C. See patent family annex.							
Special categories of cited documents:     T letter document published after the international filing date or presety date and not in conflict with the application but cited to endermone the							
"A" document defining the general state of the art which is not considered principle or theory underlying the invention.							
*E* cartier document published on or after the international filing date  "X" document of particular relevance; the claimed investors cannot be considered novel or cannot be considered to involve an anyears amp							
"L" document which may throw doubts on priority claim(s) or which is when the document is taken alone							
cited to establish the publication date of exother citation or other special reason (as special reason (as specified)							
*O* document referring to an oral disclosure, use, exhibition or oth							
*P* document published prior to the international filing date but later the the priority date chained							
Date of the actual completion of the international search  Date of mailing of the international search report							
25 OCTOBER 1995 1 6 NOV 1995							
Name and mailing address of the ISA/US  Authorized officer  Authorized officer							
Commissioner of Patents and Trademarks Box PCT	WILLIAM LEWIS						
Washington, D.C. 20231	Telephone No. (703) 308-0060						